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Docket No. 0756-1950

#29/1509/1
Ca
9-14-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inter Patent Application of)
Shunpei YAMAZAKI et al.)
Serial No. 09/285,899)
Filed: April 8, 1999)
For: ELECTRO-OPTICAL DEVICE)
HAVING LEVELING FILM)

Art Unit: 2871
Examiner: T. Ton

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with
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Robert M. J. [Signature]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be considered by the Examiner and made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

In accordance with 37 C.F.R. § 1.97(e), it is certified that either (1) each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement, or (2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

U.S. Patent 4,818,075 is in the family of JP 63-182627.

U.S. Patent 5,166,816 and EP 372821 are in the family of JP 02-157827.

Applicants note that related U.S. Patent Application Serial Nos. 09/315,987 and 09/537,172, which were brought to the Examiner's attention in an Information Disclosure Statement filed July 28, 2002, issued on August 20, 2002 as U.S. Patent 6,437,367 and U.S. Patent 6,436,815.

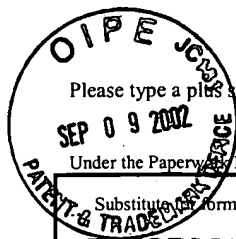
The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 50-2280.

Respectfully submitted,



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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for Form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	09/285,899
				Filing Date	April 8, 1999
				First Named Inventor	Shunpei YAMAZAKI et al.
				Group Art Unit	2871
				Examiner Name	T. Ton
Sheet	1	of	1	Attorney Docket Number	0756-1950

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
m		6,436,815	B1	Yamazaki et al.	08/20/2002	
		6,437,367	B1	Yamazaki et al.	08/20/2002	
L		5,166,816		Kaneko et al.	11/24/1992	
		4,818,075		Takao et al.	04/04/1989	

FOREIGN PATENT DOCUMENTS							
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴	Kind Code ⁵ (if known)			
m		JP	63-182627			07/27/1988	Abstract
		JP	02-157827			06/18/1990	Abstract
L		EP	372821			06/13/1990	English

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature	Date Considered
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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